RECEIVED
CENTRAL FAX CENTER

JAN 1 7 2007

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit: 3691

Examiner: Havan, Thu Thao

In Re:

Srihari Kumar et al.

Case:

P3970

Serial No.: Filed:

09/854,233 May 10, 2001

Subject:

Portfolio Synchronizing between Different Interfaces

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

Dear Sir:

# Response A

PAGE 01 3970

#### JAN 1 7 2007

Under the Paperwork Reduction Act of 1895, no persons are required to respond

Srihari Kumar et al.

Case:

P3970

Application No.: 09/854,233

Filing date: 05/10/2001

Art Unit 3691

Examiner:

Thu Thao Havan

Subject:

Portfolio Synchronizing between Different Interfaces

### Certificate of Transmission under 37 CFR 1.8

Attention:

Thu Thao Havan

, Examiner

Fax No.: (571) 273-8300

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office

on 01/17/2007

Date

Sheri Beasley

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

#### Total Sheets Transmitted - 12

- Amendment Transmittal 1 sheet 1.
- Duplicate Amendment Transmittal 1 sheet 2.
- Response A 9 sheets
- Certificate of Transmission 1 sheet

Please call (831) 768-1755 if you have any questions.

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231, DO NOT SEND FEES OR COMPLETED PORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PAGE 02

CCPA

JAN 1 7 2007

Method of	Transmission: Facsi	imile	CASE DOCKET NO. P3970								
In reference to application of Srihari Kumar et al.											
Serial No. 09/854,233											
For Portfolio Synchronizing between Different Interfaces											
Sir: Transmitte	ed herewith is and an a	mendment in	the above-identified	application,	under 37 C.F.	.R. 1.312.					
No additional fee is required.  Applicant claims Small entity status under 37 CFR 1.27.  The fee has been calculated as shown below.											
		***	CLAIMS AS AME	NDED ****	-						
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)				
	Claims Remaining After Amendment		Highest No. Paid For Previously	Present Extra	Rate Small Entity	Rate Large Entity	Additional Fee				
Total Claims	20	Minus	** 27	0	\$ <sub>25</sub>	\$ 50	\$ 0.00				
Indep Claims	3	Minus	*** 3	0	\$ 100	\$ 200	\$ 0.00				
☐ Fi	rst presentation of a m	ultiple depen	dent claim	• .	\$ 0	\$ 0	\$ 0.00				
<u>□</u> τ	erminal Disclaimer Fe	es					\$ 0.00				
Extension Fee			2nd Mon	th	☐ 3rd N	\$ 0.00					
Total additional for claims, time extensions and disclaimer fees											
** If the "highest Number Previously Paid For" in this space is less than 20, write "20" in this space.  *** If the "highest Number Previously Paid For" in this space is less than 3, write "3" in this space.  **** Multiple dependencies, if any, included in the above calculation.  * If the entry in column 2 is less than the entry in column 4, write "O" in column 5.  A check in the amount of 0.00 is attached.  Charge \$ 0.00 to deposit account 50-0534 (A duplicate of this sheet is enclosed)  Please charge any additional fees or credit overpayment to Deposit Account 50-0534 A duplicate of this sheet is enclosed.											
			Dagmans Erller C.	hmissa /9	Donald R. S	Bays					
	Respectfully Submitted, Donald R. Boys Reg. No. 35074										
Central Coast 3 Hangar Way Watsonville, ( (831) 768-175	CA 95076					-					

8317688550

J	Method of Transmission: Facsimile CASE DOCKET NO. P3970										
1	In reference to application of Srihari Kumar et al.										
:	Serial No. 09/854,233										
· J	For Portfolio Synchronizing between Different Interfaces  JAN 1 7										
:	Sir: Transmitted herewith is and an amendment in the above-identified application, under 37 C.F.R. 1.312.										
<ul> <li>✓ No additional fee is required.</li> <li>✓ Applicant claims Small entity status under 37 CFR 1.27.</li> <li>The fee has been calculated as shown below.</li> </ul>											
Γ	**** CLAIMS AS AMENDED ****										
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)			
		Claims Remaining After Amendment	-	Highest No. Paid For Previously	Present Extra	Rate Small Entity	Rate Large Entity	Additional Fee			
	Total Claims	20	Minus	** 27	0	\$ <sub>25</sub>	\$ 50	\$ 0.00			
	Indep Claims	3	Minus	*** 3	0	\$ <sub>100</sub>	\$ 200	\$ 0.00			
	☐ First presentation of a multiple dependent claim \$ 0 \$ 0										
	Terminal Disclaimer Fees										
Extension Fee			2nd Month		3rd Month		\$ 0.00				
Total additional for claims, time extensions and disclaimer fees											
** If the "highest Number Previously Paid For" in this space is less than 20, write "20" in this space.  *** If the "highest Number Previously Paid For" in this space is less than 3, write "3" in this space.  **** Multiple dependencies, if any, included in the above calculation.  * If the entry in column 2 is less than the entry in column 4, write "O" in column 5.											
[	A chec	k in the amount of	0.00	is attached.							
[	Charge \$ 0.00 to deposit account 50-0534. (A duplicate of this sheet is enclosed)										
Please charge any additional fees or credit overpayment to Deposit Account 50-0534. A duplicate of this sheet is enclosed.											
•				D		onald R. I	Boys /				
	Respectfully Submitted, Donald R. Boys Reg. No. 35074							<del></del>			
Central Coast Patent Agency, Inc. 3 Hangar Way, Suite D Watsonville, CA 95076 (831) 768-1755											